

# Lecture 8

## Molecular Orbitals

Molecular orbital;  $\text{H}_2^+$  ion; neutral  $\text{H}_2$  molecule

*Prof. David A. Kofke*

*CE 500 – Modeling Potential-Energy Surfaces*

*Department of Chemical & Biological Engineering*

*University at Buffalo*

# ***A molecular orbital (MO) is a 1-electron spatial wavefunction for a molecule***

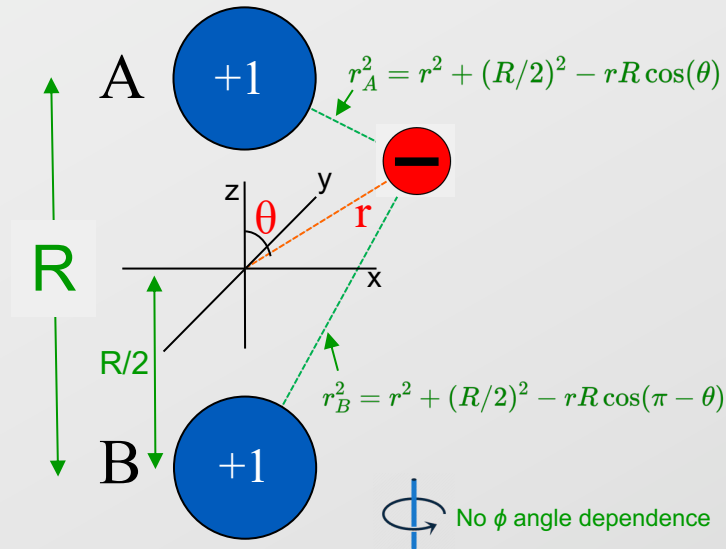
- MOs play a role similar to that of AOs for single atoms
  - Pauli principle limits occupation of a MO to at most 2 electrons
  - Slater determinants formed from MOs are used to approximate molecular multi-electron wavefunctions
- Molecular orbitals are often constructed as a linear combination of atomic orbitals (LCAO)
- MOs form a foundation for understanding of bonding
- Remember that in either case, orbitals are helpful but artificial constructs used to grapple with the many-electron wavefunction

# The $\text{H}_2^+$ ion is the simplest multiatomic system, and a useful starting point to study molecules

- 1-electron system
  - no self-consistent field iterations needed
  - ERIs not needed,  $\hat{F} = \hat{h}$
  - no Slater determinant
- Complicated analytic solution exists
- Let's construct a MO using a minimal basis set formed from one atomic orbital on each atom:

$$\chi_\alpha(\mathbf{r}), \chi_\beta(\mathbf{r}) \quad \phi_i(\mathbf{r}) = C_{\alpha,i}\chi_\alpha(\mathbf{r}) + C_{\beta,i}\chi_\beta(\mathbf{r})$$

Here,  $\alpha, \beta$  are just basis-function indexes, not spin state



# We can apply the Hartree-Fock framework to find the optimal linear combination of the atomic orbitals

- Roothaan-Hall equation for 2 basis functions

$$\begin{bmatrix} F_{\alpha,\alpha} & F_{\alpha,\beta} \\ F_{\beta,\alpha} & F_{\beta,\beta} \end{bmatrix} \begin{bmatrix} C_{\alpha,1} & C_{\alpha,2} \\ C_{\beta,1} & C_{\beta,2} \end{bmatrix} = \begin{bmatrix} 1 & S_{\alpha,\beta} \\ S_{\beta,\alpha} & 1 \end{bmatrix} \begin{bmatrix} C_{\alpha,1} & C_{\alpha,2} \\ C_{\beta,1} & C_{\beta,2} \end{bmatrix} \begin{bmatrix} \varepsilon_1 & 0 \\ 0 & \varepsilon_2 \end{bmatrix}$$

- 1-electron system:  $\hat{F}^\sigma = \hat{h} + \cancel{\hat{J}^\alpha} + \cancel{\hat{J}^\beta} - \cancel{\hat{K}^\sigma}$
- 2 basis functions:  $S_{\alpha,\beta} = S_{\beta,\alpha} \equiv S$
- Secular equation

$$\begin{bmatrix} h_{\alpha,\alpha} - \varepsilon_i & h_{\alpha,\beta} - S\varepsilon_i \\ h_{\beta,\alpha} - S\varepsilon_i & h_{\beta,\beta} - \varepsilon_i \end{bmatrix} \begin{bmatrix} C_{\alpha,i} \\ C_{\beta,i} \end{bmatrix} = 0$$

# Use Mathematica to solve for $\varepsilon$ that makes determinant zero and evaluate orbitals

- Set up secular matrix 
$$\begin{bmatrix} h_{\alpha,\alpha} - \varepsilon_i & h_{\alpha,\beta} - S\varepsilon_i \\ h_{\beta,\alpha} - S\varepsilon_i & h_{\beta,\beta} - \varepsilon_i \end{bmatrix} \begin{bmatrix} C_{\alpha,i} \\ C_{\beta,i} \end{bmatrix} = 0$$
- Use **Det** to get determinant
- Use **Solve** to find  $\varepsilon$  that make determinant zero
- For each  $\varepsilon$ , evaluate the basis coefficients  $C_{\nu,i}$  for normalized molecular orbital in terms of  $h_{\mu,\nu}$  and  $S$

$$\phi_i(\mathbf{r}) = C_{\alpha,i}\chi_{\alpha}(\mathbf{r}) + C_{\beta,i}\chi_{\beta}(\mathbf{r})$$

# Use Mathematica to solve for $\epsilon$ that makes determinant zero and evaluate orbitals

```
hMat = {{hα,α, hα,β}, {hβ,α, hβ,β}} /. {hβ,α → hα,β, hβ,β → hα,α};
```

```
hMat // MatrixForm
```

```
SMat = {{1, S}, {S, 1}};
```

```
SMat // MatrixForm
```

```
solns = Solve[Det[hMat - e SMat] == 0, e]
```

```
{e1, e2} = e /. solns
```

$$\epsilon_1 = \frac{h_{\alpha,\alpha} - h_{\alpha,\beta}}{1 - S}$$

$$\epsilon_2 = \frac{h_{\alpha,\alpha} + h_{\alpha,\beta}}{1 + S}$$

First row of matrix

```
Solve[(hMat - e1 SMat) [[1]].{1, C2} == 0, C2]
```

```
Solve[(hMat - e2 SMat) [[1]].{1, C2} == 0, C2]
```

Setting determinant to zero makes equations linearly dependent, so satisfying one satisfies both

For each e, we get a different C1, C2

$$\phi_1[[r_, \theta_, \phi_], R_, \xi_, s_] := \frac{\chi_\alpha[r, \theta, R, \xi] - \chi_\beta[r, \theta, R, \xi]}{(2 - 2s)^{1/2}}$$

$$\phi_2[[r_, \theta_, \phi_], R_, \xi_, s_] := \frac{\chi_\alpha[r, \theta, R, \xi] + \chi_\beta[r, \theta, R, \xi]}{(2 + 2s)^{1/2}}$$

Normalization

$$\begin{aligned} & \langle C_1\chi_\alpha + C_2\chi_\beta | C_1\chi_\alpha + C_2\chi_\beta \rangle \\ &= C_1^2 \langle \chi_\alpha | \chi_\alpha \rangle + 2C_1C_2 \langle \chi_\alpha | \chi_\beta \rangle + C_2^2 \langle \chi_\beta | \chi_\beta \rangle \\ &= 1 + 2C_1C_2s + 1 \\ &= 2 \pm 2s \end{aligned}$$

# We have now defined two molecular orbitals in terms of two arbitrary basis functions

- This defines two states for a single electron interacting with two nuclei
- We can now choose the basis functions as two simple H-atom atomic orbitals, centered on the respective atoms

Homonuclear, so  $\zeta_A = \zeta_B \equiv \zeta$

$$\chi_\alpha(\mathbf{r}) = \sqrt{\frac{\zeta^3}{\pi}} e^{-\zeta r_A} \quad \chi_\beta(\mathbf{r}) = \sqrt{\frac{\zeta^3}{\pi}} e^{-\zeta r_B}$$

- We now need the integrals for  $h_{\mu,\nu}$  and  $S$

$$h_{\mu,\nu} = T_{\mu,\nu} + V_{\mu,\nu} = \left\langle \chi_\mu \left| -\frac{1}{2} \nabla^2 \right| \chi_\nu \right\rangle - \left\langle \chi_\mu \left| \frac{1}{r_A} + \frac{1}{r_B} \right| \chi_\nu \right\rangle \quad S = \langle \chi_\alpha | \chi_\beta \rangle$$

# The overlap integrals for our $\chi_\alpha, \chi_\beta$ have been determined in a simple form

Spatial integrals for a pair of 1s basis functions on two sites separated by a distance  $R$

$$\chi_\mu(\mathbf{r}) = \left( \frac{\xi^3}{\pi} \right)^{1/2} e^{-\xi r}$$

$r$  is the distance to the atom site  
where the basis function is centered.

Formulas are from J. Autschbach,  
Quantum Theory for Chemical Applications,  
Oxford University Press, 2021.

Overlap integral:  $\langle \chi_\alpha | \chi_\beta \rangle$

$$s[R_-, \xi_-] := \text{With}[\{w = R \xi\}, \left(1 + w + \frac{w^2}{3}\right) E^{-w}] :$$

$$\alpha\alpha \text{ Coulomb integral: } \left\langle \chi_\alpha \left| \frac{1}{r} \right| \chi_\alpha \right\rangle$$

$$\text{coulomb}\alpha\alpha[R_-, \xi_-] := \\ \text{With}[\{w = \xi R\}, \frac{1}{R} (1 - \text{Exp}[-2w] (1 + w))]$$

$$\alpha\beta \text{ Coulomb integral: } \left\langle \chi_\alpha \left| \frac{1}{r} \right| \chi_\beta \right\rangle$$

$$\text{coulomb}\alpha\beta[R_-, \xi_-] := \\ \text{With}[\{w = \xi R\}, \frac{1}{R} (w + w^2) \text{Exp}[-w]]$$

$\alpha\alpha$  potential -

$$\text{energy integral: } -\left\langle \chi_\alpha \left| \frac{1}{r_A} + \frac{1}{r_B} \right| \chi_\alpha \right\rangle$$

$$V\alpha\alpha[R_-, \xi_-] := -\xi - \text{coulomb}\alpha\alpha[R, \xi]$$

$\alpha\beta$  potential -

$$\text{energy integral: } -\left\langle \chi_\alpha \left| \frac{1}{r_A} + \frac{1}{r_B} \right| \chi_\beta \right\rangle$$

$$V\alpha\beta[R_-, \xi_-] := -2 \text{coulomb}\alpha\beta[R, \xi]$$

File `STO_integrals.nb`

$\alpha\alpha$  kinetic -

$$\text{energy integral: } \left\langle \chi_\alpha \left| -\frac{1}{2} \nabla^2 \right| \chi_\alpha \right\rangle$$

$$T\alpha\alpha[R_-, \xi_-] := \frac{\xi^2}{2}$$

$\alpha\beta$  kinetic -

$$\text{energy integral: } \left\langle \chi_\alpha \left| -\frac{1}{2} \nabla^2 \right| \chi_\beta \right\rangle$$

$$T\alpha\beta[R_-, \xi_-] := \xi \text{coulomb}\alpha\beta[R, \xi] - \frac{\xi^2}{2} s[\xi R]$$

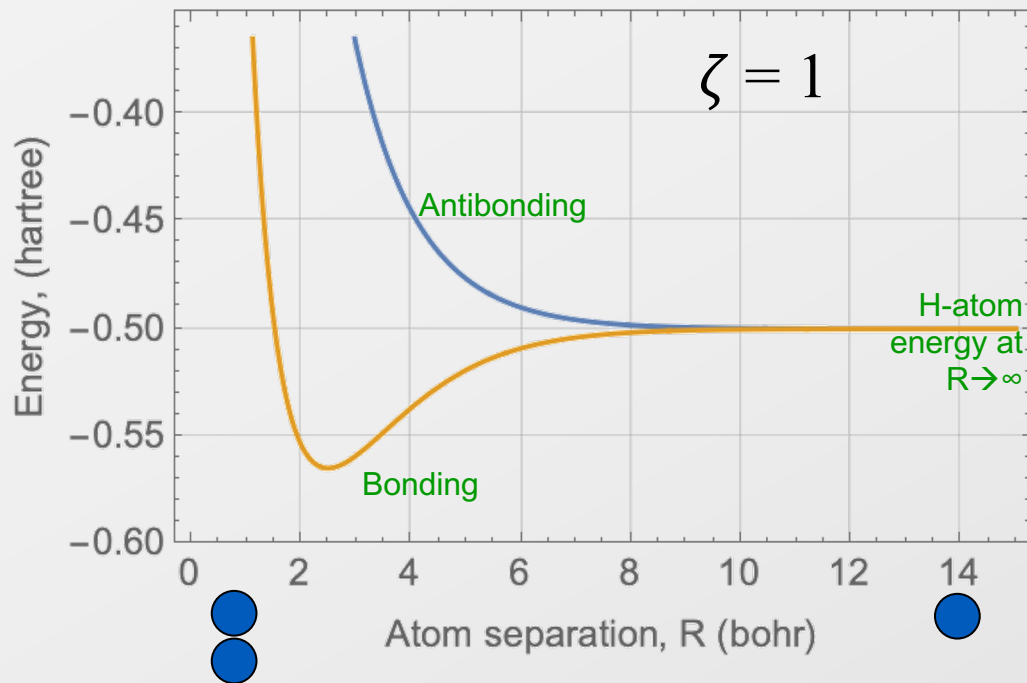
Full 1-electron integrals

$$h\alpha\alpha[R_-, \xi_-] := T\alpha\alpha[R, \xi] + V\alpha\alpha[R, \xi]$$

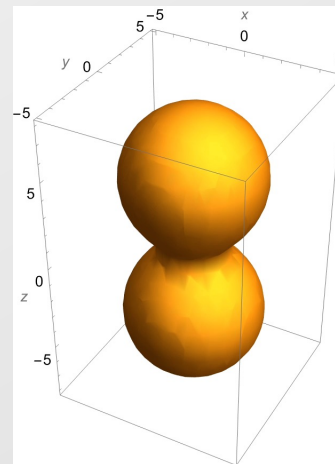
$$h\alpha\beta[R_-, \xi_-] := T\alpha\beta[R, \xi] + V\alpha\beta[R, \xi]$$



# The molecular orbitals describe bonding and antibonding states



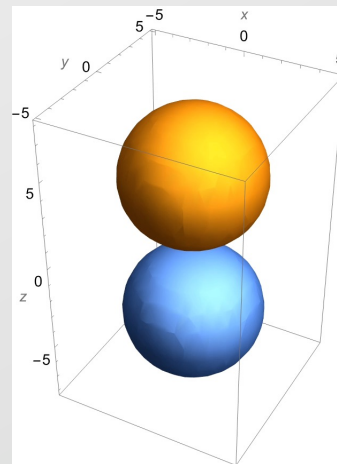
Bonding



gerade (g)  
symmetry

“even” point symmetry

Antibonding

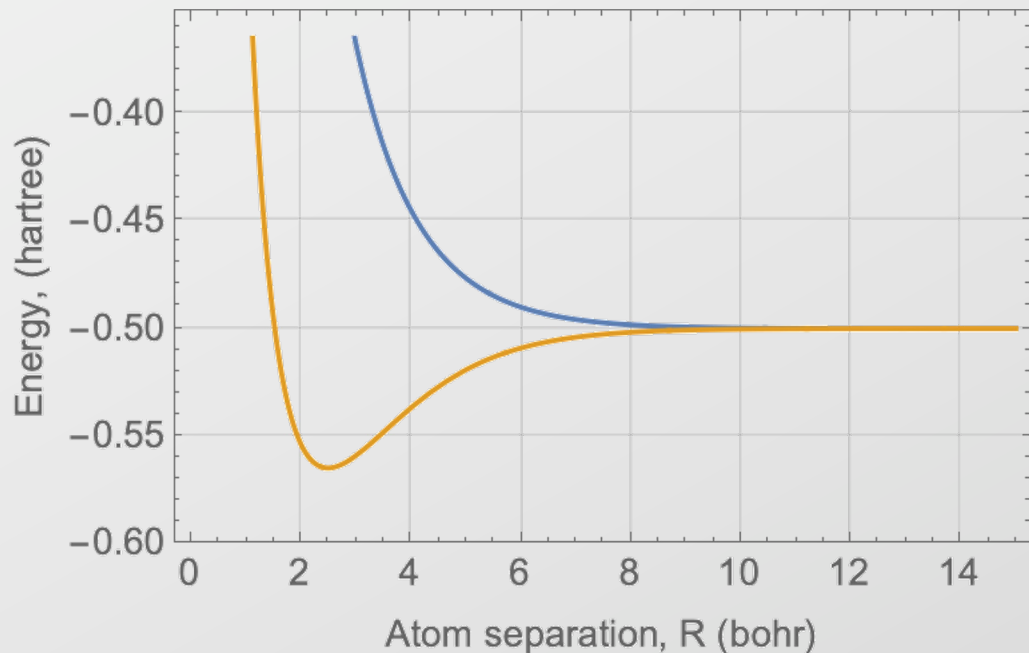


ungerade (u)  
symmetry

“odd”

# Remember that this solution is approximate, and uses only a minimal basis set

- Calculated energy minimum
  - $R_e = 2.493$  au
  - $E = -0.5648$  au
  - Bonding energy: 0.0648
- Compare to exact values
  - $R_e = 1.997$  au
  - $E = -0.6026$  au
  - Bonding energy: 0.1026 au



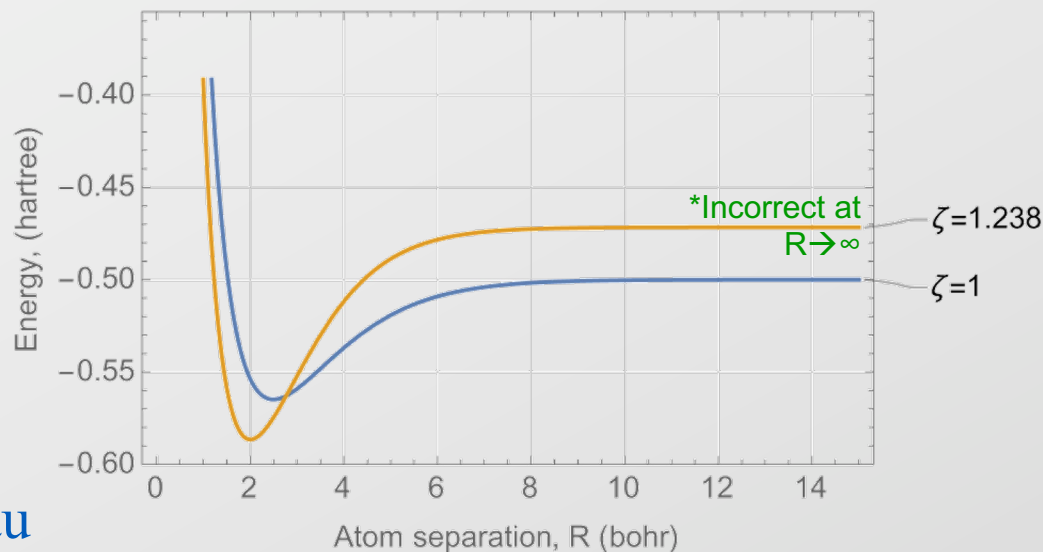
# The approximation can be improved by treating $\zeta$ as a variational parameter

- Improved model ( $\zeta = 1.238$ ) (more compact)

- $R_e = 2.003$  au
- $E = -0.5865$  au
- Bonding energy:  $0.1048^*$

- Compare to exact values

- $R_e = 1.997$  au
- $E = -0.6026$  au
- Bonding energy:  $0.1026$  au



- Improvements possible with multi- $\zeta$  basis, or  $R$ -dependent  $\zeta$

# Here's a recap of the picture so far

- A MO is a 1-electron wavefunction for a molecule
  - Building block for the full  $n$ -electron wavefunction  $\psi$
  - Spatial part can be occupied by up to two electrons, in opposite spin states
  - Shape of MO will be affected by other electron, and electrons in other MOs
- We can form MOs as a LCAO (number of basis functions:  $N$ )
  - Coefficients given by minimizing  $\langle E \rangle$  according to the variational principle
  - All MOs are computed at once from the same basis set
- The HF/Roothaan-Hall equation performs this minimization while keeping the MOs orthogonal
  - For a 1-electron system,  $F_{\mu,\nu} = h_{\mu,\nu}$  and no self-consistent solution needed
- The resulting secular determinant,  $|h_{\mu,\nu} - S_{\mu,\nu}E|$ , yields  $N$  energies
  - Each energy yields a set of coefficients, and thereby a MO
  - $N$  must be at least half the number of electrons, and usually much more

# The (neutral) hydrogen molecule has similar features to $\text{H}_2^+$ , and a couple of differences

- 2 electrons
- One bonding molecular orbital, occupied by two electrons in different spin states
- If using minimal basis set, molecular orbitals are unchanged

$$\begin{aligned}\phi_1[\{r_-, \theta_-, \phi_-\}, R_-, \zeta_-, s_-] &:= \frac{\chi\alpha[r, \theta, R, \zeta] - \chi\beta[r, \theta, R, \zeta]}{(2 - 2s)^{1/2}} \\ \phi_2[\{r_-, \theta_-, \phi_-\}, R_-, \zeta_-, s_-] &:= \frac{\chi\alpha[r, \theta, R, \zeta] + \chi\beta[r, \theta, R, \zeta]}{(2 + 2s)^{1/2}}\end{aligned}$$

- ERI contribution to Fock matrix will make energies different

$$\varepsilon_1 = h_{1,1} + J_{1,1} = \frac{h_{\alpha,\alpha} + h_{\alpha,\beta}}{1 + S} + [\phi_1\phi_1|\phi_1\phi_1]$$

# H<sub>2</sub> wavefunction can be formed with both electrons occupying H<sub>2</sub><sup>+</sup> molecular orbital

$$\begin{aligned}\Psi(\tau_1, \tau_2) &= \frac{1}{\sqrt{2}} |\phi_1(\mathbf{r}_1)\phi_1(\mathbf{r}_2)\alpha(s_1)\beta(s_2)| \\ &= \phi_1(\mathbf{r}_1)\phi_1(\mathbf{r}_2) \frac{1}{\sqrt{2}} [\alpha(s_1)\beta(s_2) - \alpha(s_2)\beta(s_1)]\end{aligned}$$

- Hamiltonian doesn't depend on spin state, so we can drop that part for the rest of the calculation
- We have a filled-shell system, so same spatial orbital is used for both spin states: Restricted Hartree-Fock
- If we employ a more versatile basis set, differences between H<sub>2</sub><sup>+</sup> and H<sub>2</sub> wavefunctions will emerge

# Expressions for the matrix elements in the Restricted-HF Roothaan equations are here

- Density matrix in terms of basis-set coefficients:  $P = 2CC^\dagger$
- Energy: 
$$E = \sum_{\mu,\nu} h_{\mu,\nu} P_{\nu,\mu} + \frac{1}{2} \sum_{\mu,\nu} \sum_{\kappa,\lambda} \left( [\mu\nu|\kappa\lambda] - \frac{1}{2} [\nu\lambda|\kappa\nu] \right) P_{\nu,\mu} P_{\lambda\kappa}$$
- Fock operator: 
$$F_{\mu,\nu} = h_{\mu,\nu} + \sum_{\kappa,\lambda} \left( [\mu\nu|\kappa\lambda] - \frac{1}{2} [\mu\lambda|\kappa\nu] \right) P_{\lambda,\kappa}$$
- Roothaan-Hall:  $FC = SC\varepsilon$
- Iteration is needed to solve for self-consistent  $C$ , but  $h$  and ERIs do not change with each iteration
- These formulas apply for arbitrary number of basis functions

# Suggested Reading/Viewing

- Autschbach Sec. 9.6
- Cramer: <https://www.youtube.com/watch?v=BGUtZsnPIGo>